

# ADVERSE ACTIONS

## A. ACTIONS AGAINST ALJS

### 1. CONDUCT UNBECOMING

*Abruzzo v. SSA*, 489 Fed. Appx. 449 (Fed. Cir. 2012 NP) (affirming the Board's decision authorizing removal of an ALJ and approving the standard applied by the Board describing conduct unbecoming as "conduct that revealed a temperament that detracted from character or reputation."; "Abruzzo admits that the events of April 2009 amounted to conduct unbecoming an ALJ, but argues that removal was excessive. Here, the ALJ conducted a very thorough analysis of the factors outlined in *Douglas v. Veterans Administration*, 5 M.S.P.R. 280 (1981), and found the misconduct intentional, frequent, disruptive, and incompatible with his ability to adequately perform his judicial duties. See *Abruzzo*, 2010 MSPB LEXIS 5624, at \*91–97. The Board's findings that Abruzzo cannot effectively serve as an ALJ due to his sustained misconduct does not amount to an abuse of discretion. Accordingly, we uphold the Board's penalty of removal.")

### 2. CONSTRUCTIVE ADVERSE ACTIONS; TUNIK

*Mahoney v. Donovan*, 721 F.3d 633 (D.C. Cir. 2013) (construing the term "working conditions" under 5 USC 2302 to include actions alleged to interfere with an ALJ's decisional independence; "it is entirely consistent with the language and structure of the Act to treat an action alleged to interfere with an administrative law judge's decisional independence as a personnel action subject to investigation by the Office of Special Counsel. To do otherwise would 'impermissibly frustrat[e]' the 'exhaustive remedial scheme' of the Act by permitting, for such actions, 'an access to the courts more immediate and direct than the statute provides with regard to major adverse actions,' such as removal, suspension, and reduction in pay or grade, *Carducci*, 714 F.2d at 174—more serious actions that are subject to pre-approval by the Merit Systems Protection Board because of their potential to compromise the independence of an administrative law judge."; "We think these actions affect 'working conditions' and thus fall within the scope of a 'personnel action' under the Civil Service Reform Act: (1) the selective assignment of cases on the basis of political considerations or the Secretary's perceived interests; (2) the failure to provide docket numbers necessary for the administrative law judges to manage their cases, as well as to provide access to legal-research resources; (3) unauthorized *ex parte* communications between his supervisor and a litigant appearing before him; and (4) the practice of providing the Justice Department with advance warning of notices of election in certain cases."; concluding that the district court lacked jurisdiction over these claims)

*Tunik, et al. v. MSPB & SSA*, 407 F.3d 1326 (Fed. Cir. 2005) (the Board is delegated authority under 5 USC § 1305 to administer section 7521 through both rulemaking and adjudication, and so is entitled to deference under *Chevron U.S.A. Inc. v. Natural Resources Defense Council, Inc.*, 467 U.S. 837 (1984); the Board's new interpretation of 5 USC § 7521 in *Tunik v. SSA*, 93 MSPR 482 (2003), to preclude appeals based on a constructive removal theory, is reasonable, and the Federal Circuit's precedent does not preclude adoption of such a new interpretation; however, 5 CFR § 1201.142 is substantive, and it therefore could only be repealed through the notice and comment procedures of section 553(b); the Board erred in overturning that regulation in its decision in *Tunik*; the dissent would have held that 5 CFR § 1201.142 and the Board decision on which it was based are inconsistent with 5 USC § 7521)

*Schloss v. SSA*, 100 MSPR 408 (2005) (reinstates appeal of ALJ's constructive removal claim, under *Tunik v. MSPB*, 407 F.3d 1326 (Fed. Cir. 2005), which held that the Board violated the APA by attempting to use adjudication to overturn 5 CFR § 1201.142 that provides that an ALJ who alleges that an agency interfered with his qualified decisional independence so as to constitute an unauthorized action under 5 USC § 7521 may file a complaint with the Board)

*Dethloff, et al. v. SSA*, 100 MSPR 340 (2005) (sets out history of *Tunik*, and concludes that two appellants and an intervenor settled their jurisdictional claims, and that the remaining appellants did not meet the review criteria as to the ALJ's finding that they did not raise jurisdictional issues of constructive removal; the criteria for review under 5 CFR § 1201.115 apply under 5 CFR § 1201.140(a)(2) to actions involving ALJs)

*Dethloff, et al. v. SSA*, 93 MSPR 574 (2003) (a prerequisite for the Board's jurisdiction over a removal appeal brought under 5 USC § 7521 is that the appellant no longer occupies an ALJ position; the Board so held in *Tunik v. SSA*, immediately above)

*Tunik v. SSA*, 93 MSPR 482 (2003) (a prerequisite for the Board's jurisdiction over a removal appeal brought under 5 USC § 7521 is that the appellant no longer occupies an ALJ position; the ordinary meaning of "removal" in the context of a personnel action is to be separated from one's position of record or not to have a continuing appointment to that position; the Board adopted that meaning for the term in 5 USC § 7521 in lieu of the "constructive removal" actions it has recognized from *In re Doyle*, 29 MSPR 170 (1985), until now; the Board overruled *In re Doyle*, 29 MSPR 170 (1985))

*Schloss v. SSA*, 93 MSPR 344 (2003) (ALJs have a qualified right of decisional independence; a careful balance must be struck between judicial independence and judicial accountability; the proof needed to make a *prima facie* case of constructive removal was set out; showing that the agency reassigned an ALJ's case in an effort to manipulate or control the outcome of the case, or to punish him for his whistleblowing and/or EEO activity, could constitute a constructive removal via "active intervention"; a constructive removal via "cumulative administrative action" was distinguished; where the record written submissions are insufficient to decide the jurisdictional issues, the Board "may hold a hearing" under 5 USC § 7521)

### 3. FAILURE TO FOLLOW PERFORMANCE-RELATED DIRECTIVES

*Abrams v. SSA*, 703 F.3d 538 (Fed. Cir. 2012) (finding good cause for removal of ALJ where managing ALJs issued appellant a series of performance related directives to complete certain work and the ALJ failed to comply with all of them; finding that, even though ALJs cannot be subjected to performance standards, they may be given performance-related orders designed to move cases through adjudication and failure to comply could result in sustainable discipline based on failure to follow instructions; rejecting appellant's argument that his removal was for poor performance)

### 4. FURLOUGHS

*Berlin et al. v. Dept. of Labor*, 772 F.3d 890 (Fed. Cir. 2014) (ALJ furlough: "good cause" should be defined in a case-by-case manner; the Board reasonably interpreted "good cause" in this context to focus on whether the Department had sound business reasons for the challenged furlough and, relatedly, whether the furlough resulted from disparate treatment of ALJs or from a reason inconsistent with decision-making independence; a difference in furlough lengths alone does not constitute an improper outcome under the good-cause standard; no evidence exists that the difference resulted from any determination that relied on ALJ status to impose a longer furlough or aimed at or causing an impairment of decision-making independence)

*Dept. of Labor v. Avery*, 120 MSPR 150 (2013) (Vice Chair Wagner dissenting) (involving the law governing ALJ furloughs; an agency may furlough an ALJ only for "good cause" as determined after a hearing by the Board; the agency must show by preponderant evidence that it had sound business reasons behind its decision to furlough the ALJ employee; a decision to furlough may not be made for an improper reason or interfere with an ALJ's qualified judicial independence)

## 5. "GOOD CAUSE" STANDARD

*Shapiro v. SSA*, 800 F.3d 1332 (Fed. Cir. 2015) (allowing productivity statistics to support removal of an underperforming ALJ; "Congress intentionally failed to define 'good cause' leaving it 'to be given meaning through judicial interpretation.'...Because Mr. Shapiro does not contest that 'unacceptable performance' or a lack of production can constitute good cause for removal, we need only determine whether substantial evidence supports the Board's conclusion that the Agency showed by a preponderance of the evidence that this charge was met....Charge I, labeled 'Unacceptable Performance,' plainly put Mr. Shapiro on notice that his 'performance has been unacceptable, in that...[i]n or about FY2008–2010, [he] did not acceptably manage his cases.' And Mr. Shapiro testified that he understood this to mean that the Agency was seeking to remove him from his position due to his perceived mismanagement of cases, including his failure to 'produce very many decisions.' The Board, on the presiding ALJ's recommendation, found good cause for removal because Mr. Shapiro was unable to effectively manage his docket. There is no indication that this did not comply with the governing regulations....Mr. Shapiro next argues that the Board erred in its good cause determination by relying on a comparison of his production statistics to regional averages, in contravention of the rule announced by the Board in *Social Security Administration v. Goodman*, 19 M.S.P.R. 321, 331 (1984). Although *Goodman* was decided over thirty years ago, we have not yet had occasion to review its rule beyond noting that we are not bound by it....And we do not adopt it today. We agree with *Goodman* to the extent that it requires a proper foundation for the type of comparative statistics employed here. But to the extent *Goodman* requires some type of heightened evidentiary proof before an agency can rely upon comparative production statistics to prove good cause for removal, we decline to follow it. When an agency establishes that an individual ALJ's case disposition rate is so significantly lower than the rate of similarly situated ALJs in his own region, that evidence, absent some contradictory showing that the statistics do not present a valid comparison, can support a finding of good cause.")

*Long v. SSA*, 635 F.3d 526 (Fed. Cir. 2011) (discussing "good cause" in the context of 5 USC 7521 (adverse actions against ALJs) and an off-duty incident involving an ALJ and "physical altercation with his domestic partner, resulting in the involvement of neighbors and the intervention of police officers"; upholding the Board's interpretation of "good cause to encompass conduct that 'undermines public confidence in the administrative adjudicatory process'; "We do not agree with the ALJ's assertion that the Board's interpretation of 'good cause' prohibits ALJs from acting with the independence required by the APA [Administrative Procedures Act]. The APA does indeed have provisions to ensure the 'decisional independence' of ALJs and prohibits 'substantive reviews and supervision of an ALJ's...quasi-judicial functions.' *Brennan*, 787 F.2d at 1562. Yet the Board's interpretation of 'good cause' to cover conduct that 'undermines public confidence in the administrative adjudicatory process' is not inconsistent with or in conflict with such independence."; further rejecting the argument that a "separate analysis of nexus" is required to assess "good cause"; noting the characteristics essential to an ALJ position—judicial temperament, demeanor, control, and judgment—the Board found that appellant ALJ's physical abuse of his partner undermined public confidence in the administrative adjudicatory process; also rejecting appellant's argument that an ALJ's misconduct must "receive negative publicity, e.g., through the newspaper, television, or the Internet, in order to raise concerns regarding public confidence in the administrative adjudicatory process.")

*SSA v. Long*, 113 MSPR 190 (2010) (on appeal from ID mitigating an ALJ's removal to a 45 day suspension where the ALJ assigned to the case found the evidence supported specification #1 but not #2 of a conduct unbecoming charge related to an alleged off-duty battery of the ALJ's live in girlfriend and child; the Board does not conduct a separate nexus determination in ALJ cases; instead, the Board considers evidence that relates to nexus under the "good cause" standard; "While there is no precise definition of the term good cause, the Board has made clear that it is not equivalent to the efficiency of the service standard under 5 U.S.C. § 7513 for adverse actions against other federal employees. *Mills*, 73 M.S.P.R. at 468 (citing *Social Security Administration v. Goodman*, 19 M.S.P.R. 321, 330 n.8 (1984)). Cases under chapter 75, however, can provide some guidance for determining what is good cause for an action against an ALJ. *Social Security Administration v. Carr*, 78 M.S.P.R. 313, 338 (1998), *aff'd*, 185 F.3d 1318 (Fed. Cir. 1999); *Mills*, 73 M.S.P.R. at 468"; finding removal appropriate where charge of conduct unbecoming an ALJ was supported by evidence of the ALJ's "physical altercation with his domestic partner resulting in the involvement of neighbors and the intervention of police officers, [which] clearly violated generally accepted rules of conduct. His conduct was inconsistent with maintaining respect for the administrative adjudicatory process. Accordingly, we find that the petitioner has shown good cause for disciplinary action against the respondent.")

*Slattery v. Dept. of Labor*, 87 MSPR 528 (2000) (Table) (separate opinions on whether agency had good cause to indefinitely suspend where a Board recommended that respondent's law license be suspended)

## 6. JURISDICTION

*McDougall v. SSA*, 114 MSPR 534 (2010) (involving an adverse action taken against an ALJ; "The appellant's appeal was adjudicated by the administrative judge as an adverse action appeal under Chapter 75, Subchapter II. This was error because those provisions do not apply to adverse actions taken against administrative law judges, see 5 U.S.C. § 7512(E), and the administrative judge lacked authority to adjudicate the administrative law judge's appeal, see 5 U.S.C. § 556(b). Furthermore, given the special procedural rules which apply to actions against administrative law judges, a complaint should have been filed with the Clerk of the Board, rather than with a regional office, for special handling. See 5 C.F.R. §§ 1201.137(b), 1201.142. Thus, it was error to assign the appellant's case to an administrative judge, and the appeal must be adjudicated anew by an administrative law judge under the APA. After the administrative law judge prepares an initial decision, the appellant can seek further review before the full Board. 5 C.F.R. § 1201.140(a)(2).")

*SSA v. Harty*, 96 MSPR 65 (2004) (under 5 USC § 7521(a), an agency may not take a covered action against an ALJ unless it first proves to the Board that there is good cause for taking the action; under 5 USC § 7521, the Board only has jurisdiction over a removal action if the employee no longer occupies an ALJ position because the agency has separated or reassigned him from his position as an ALJ, or the ALJ establishes that a separation which he requested, such as a resignation, was actually involuntary and therefore should be treated as a removal; a reassignment or detail, in which the ALJ retains an ALJ position is not one of the covered actions listed in 5 USC § 7521(b))

*Hiltbrand v. SSA*, CB-7521-02-0031-T-1 (2004 NP) (an ALJ may appeal a "removal" under 5 USC § 7521(b); a prerequisite for the Board's jurisdiction over a removal under § 7521 is that the appellant no longer occupy an ALJ position; eliminating an ALJ's duties as Chief ALJ and assigning him as a "line" ALJ, is not appealable where it does not affect his grade or pay and because it is not a removal under § 7521)

*Butler v. SSA*, 331 F.3d 1368 (Fed. Cir. 2003) (the reassignment of an ALJ that does not reduce his or her pay or grade is not appealable to the Board under 5 USC § 7521, by analogy to 5 USC § 7512; 5 CFR § 930.214(a), which defines "removal" as including the "discharge of an administrative law judge from the position of administrative law judge or involuntary reassignment, demotion, or promotion to a position other than that of administrative law judge" does not provide for Board jurisdiction where the agency takes away the managerial and administrative duties of a Hearing Office Chief ALJ; 5 CFR § 930.205, under which an ALJ may be reassigned to another ALJ position only with the prior approval of OPM, does not give the Board jurisdiction to hear the appeal from the loss of Hearing Office Chief duties because a "Hearing Office Chief" is not a separate "administrative law judge position" as contemplated by section 3105)

*Meeker v. MSPB*, 319 F.3d 1368 (Fed. Cir. 2003) (the Board has jurisdiction under Part 300 to consider the challenge to the 1996 scoring formula for the ALJ examination; the Board's authority under Part 300 does not extend to determining if a challenged employment practice is not in accordance with law (here, the Veterans Preference Act) under 5 USC § 7701(c)(2)(C); because almost any change to the grading system would likely have some effect on the impact of preference points, only if such a change virtually displaced the competitive system would it be invalid; relevant experience has always played an important role in the selection of ALJs)

## 7. PROCEDURE

*Price v. SSA*, 398 F.3d 1322 (Fed. Cir. 2005) (where a suspension was effected against an ALJ without prior Board approval, the court declined to hold that the denial of a due process hearing necessarily constitutes a prohibited personnel practice; attorney fees therefore were not awarded on the basis of a PPP)

## 8. STATUS DURING REMOVAL PROCEEDINGS

*SSA v. Price*, 94 MSPR 337 (2003) (5 CFR § 930.214(b) provides alternatives to placing an ALJ on AWOL only where it has already proposed the ALJ's removal when it did so; even if the regulation applies where the agency proposed the appellant's removal after it placed her on AWOL, the situation in this case would qualify under it as an "exceptional case" where her retention in her position "would be detrimental to the interests of the Government" because her law license was suspended, so that she could not perform the normal duties of her position; pursuant to 5 USC § 3105, ALJs "may not perform duties inconsistent with their duties and responsibilities as" ALJs)

## B. APPOINTMENTS; PROMOTIONS, CANCELANON

*Kim v. Dept. of Army*, 119 MSPR 429 (2013) (appellant made a nonfrivolous allegation that his promotion took effect before the agency canceled it where the authorized official appointed appellant to a supervisory position, appellant took action indicating acceptance of the position, the promotion became effective in February 2009 before the agency issued the paperwork canceling the promotion in November 2009 and "the appellant alleged that the agency demoted him to the GS-13 Electrical Engineer position and forced him to repay the \$7,050.00 pay increase he received in the YF-2 Supervisory Electrical Engineer position from February 15, 2009, to November 1, 2009, when his promotion was cancelled."; "We find those allegations, if true, typically would be sufficient to establish Board jurisdiction over the revocation of his promotion as an appealable reduction in grade, regardless of whether the appellant actually performed any duties in the higher-level position. There is, however, an exception if the appellant was required to serve a supervisory probationary period. See *Levy*, 118 M.S.P.R. 619, ¶¶ 11–12. In that case, the Board still may not have jurisdiction. *Id.*")

*Levy v. Dept. of Labor*, 118 MSPR 619 (2012) (finding appellant entitled to a jurisdictional hearing where the agency selected appellant for promotion, appellant accepted the position, HR confirmed appellant's start date, which was the day before appellant went on annual leave, and when he returned from annual leave he was told that his promotion was being held in abeyance pending an OIG investigation; "Although the court in *National Treasury Employees Union v. Reagan* [663 F.2d 239 (D.C. Cir. 1981)] held that an appointment cannot properly be revoked once the appointee has entered onto duty, we do not read the court's decision as requiring the actual performance of duties in a position in every case in order for an appointment or promotion to become irrevocable....[I]n cases like the present one, where the appellant alleges that he was promoted and immediately went on annual leave, the effective date of the action precedes the date on which the appellant technically enters onto duty in the higher graded position. In such cases, we find that the effective date of the action is the point at which the promotion is no longer revocable. We therefore modify the Board's jurisdictional test as follows: To establish jurisdiction in an appeal from the cancellation of a promotion as a reduction in grade, the appellant must show that: (1) the promotion actually occurred; that is, that it was approved by an authorized appointing official aware that he or she was making the promotion; (2) the appellant took some action denoting acceptance of the promotion; and (3) the promotion was not revoked before it became effective....As stated above, an agency official selected the appellant, who was in a nonsupervisory GS-14 position, for the supervisory GS-15 position, and the appellant accepted the position. Further, another agency official indicated that the agency assigned the appellant to the position effective July 3, 2011. The appellant alleges that his promotion went into effect on July 3, 2011, and that he was on annual leave for 3 weeks before the agency informed him that it was holding his promotion in abeyance. We find that those allegations, if true, are sufficient to establish Board jurisdiction over the revocation of his promotion as an appealable reduction in grade, regardless of whether the appellant actually performed any duties in the higher level position, as long as the appellant was not required to serve a supervisory probationary period or completed such a probationary period before he was returned to the GS-14 position.")

*Deida v. Dept. of Navy*, 110 MSPR 408 (2009) (explaining the burden of proof in an appeal asserting an improper cancelation of a promotion, closely intertwined with an allegation concerning an improper reduction in pay and determining that it is the agency's burden to demonstrate the propriety of the cancelation if the appellant makes nonfrivolous allegations that he or she was promoted and accepted the promotion)

*Robinson v. Dept. of Army*, 266 Fed. Appx. 948 (Fed. Cir. 2007 NP) (finding that the appellant was, at best, in probationary status at the time her appointment was revoked and was not an "employee" under section 7511, where appellant held a series of temporary appointments, each not exceeding a year, then received a permanent appointment in error, resigned when the agency proposed readvertising the permanent appointment and then appealed from what she asserted was an improper termination of her permanent position)

*Martin v. Dept. of Commerce*, 106 MSPR 23 (2007) (finding jurisdiction over an appeal from an employee who successfully challenged a discharge from a position that the agency claimed she held through nepotism; the cancelation of appellant's allegedly illegal appointment constituted an adverse action)

*Scott v. DOJ*, 105 MSPR 482 (2007) (as to an employee who entered on duty before receiving notice that her appointment was canceled, the Board held "the appellant suffered no actual loss in pay or grade when she was moved back to her previous position. Any loss that she claims would be based on speculative future earnings, rather than an immediate loss of basic pay. The Board thus lacks jurisdiction over this appeal as an adverse action.")

*Lloyd v. Dept. of Army*, 99 MSPR 342 (2005) (the Board is obliged to review the agency's charge solely on the grounds invoked by the agency)

## C. ARBITRATION REVIEW

*Adeleke v. DHS*, 551 Fed. Appx. 1003 (Fed. Cir. 2014 NP) ("an arbitrator has considerable discretion in determining whether an award of attorney fees is warranted in the interest of justice and we accord great deference to such a decision")

*Wallace and Martin v. Dept. of Commerce*, 106 MSPR 23 (2007) (finding jurisdiction over an appeal from an employee who successfully challenged a discharge from a position that the agency claimed she held through nepotism; there was no absolute statutory bar to the appointment; the matter was with the adverse action appellate jurisdiction of the Board)

*Scott v. DOJ*, 105 MSPR 482 (2007) (where an employee, who entered on duty before receiving notice that her appointment was canceled, the Board lacked jurisdiction to review the cancelation because appellant suffered no loss in pay or grade when she was moved back to her previous position)

## D. CHARGES

### 1. INTENT ELEMENT

*Porzillo v. DHHS*, 369 Fed. Appx. 123 (Fed. Cir. 2010 NP) (involving the removal of a program support specialist for, among other things, improperly approving child care subsidy payments; commenting on the element of intent and necessity for its proof, the court noted "[i]ntent or willfulness is not necessarily an element of every charge of misconduct against an employee; it is only required to support particular types of charges."; finding that where the specification suggests that appellant "possessed sufficient knowledge to realize that the payment she approved were erroneous,

those specifications do not require a showing of intent or willfulness; rather, that language of the charge would encompass even inadvertent or careless error.”)

## 2. MERGER

*Powell v. USPS*, 122 MSPR 60 (2014) (the Board will “merge” charges if they are based on the same conduct and proof of one charge automatically constitutes proof of the other charge; delay of mail charge merged with charge of failure to follow instructions)

## E. CONSTRUCTIVE ADVERSE ACTIONS

*Savage v. Dept. of Army*, 122 MSPR 612 (2015) (“Although various fact patterns may give rise to an appealable constructive suspension, all constructive suspension claims (and indeed all constructive action claims), have two things in common: (1) the employee lacked a meaningful choice in the matter; and (2) it was the agency’s wrongful actions that deprived the employee of that choice.”)

*Bear v. USPS*, 120 MSPR 397 (2013) (reviewing the evolution of the law of constructive adverse actions by way of the principal decisions; concluding that the decisions set forth a two-part jurisdictional unifying principle for analysis of constructive adverse actions: “(1) the appellant lacked a meaningful choice, and (2) this was because of the agency’s improper actions.”)

*Jones v. Dept. of Treasury*, 107 MSPR 466 (2007) (in the context of a resignation appeal, but applicable to involuntary retirements or downgradings, “[g]enerally, the Board’s jurisdiction and the merits of an alleged involuntary separation are inextricably intertwined. In most cases, if an appellant establishes that his resignation was involuntary, he not only establishes Board jurisdiction, but the appellant also wins on the merits. *Schultz*, 810 F.2d at 1136; *Dumas v. Merit Systems Protection Board*, 789 F.2d 892, 895 (Fed. Cir. 1986). Thus, the jurisdictional question and the merits of the appeal may rise and fall together.”)

*Goodwin v. Dept. of Transp.*, 106 MSPR 520 (2007) (synopsizing the Board’s post-*Garcia v. DHS*, 437 F.3d 1322, 1328 (Fed. Cir. 2006) (*en banc*), approach to jurisdictional allegations in constructive adverse action appeals; “To constitute an appealable action, though, a reduction in grade or pay must be involuntary.... If the action is not initiated by an employee, then the action is not presumed to be voluntary.... The phrase ‘initiated by’ does not mean that the change must be suggested, in the first instance, by the employee, but rather, redundantly indicates that the employee must voluntarily accept an agency’s proposal.... The Board will grant a hearing to determine whether an appellant involuntarily accepted an agency’s proposal or action only if the appellant nonfrivolously alleges her acceptance was the result of duress, coercion, or misrepresentation by the agency.... Non-frivolous allegations of Board jurisdiction are allegations of fact, which if proven, could establish a *prima facie* case that the Board has jurisdiction over the matter at issue.... To meet the non-frivolous standard, an appellant need only plead allegations of fact which, if proven, could show jurisdiction, though mere *pro forma* allegations are insufficient to satisfy the non-frivolous standard. *Id.* In determining whether the appellant has made a non-frivolous allegation of jurisdiction entitling him to a hearing, the administrative judge may consider the agency’s documentary submissions; however, to the extent that the agency’s evidence constitutes mere factual contradiction of the appellant’s otherwise adequate *prima facie* showing of jurisdiction, the administrative judge may not weigh evidence and resolve conflicting assertions of the parties, and the agency’s evidence may not be dispositive.... The appellant has the burden of proof, by a preponderance of evidence, with respect to issues of jurisdiction.”)

*Garcia v. DHS*, 437 F.3d 1322 (Fed. Cir. 2006) (jurisdiction under 5 USC § 7512 is established when a claimant shows that he or she is a covered employee as required by the statute and that the agency took one of the enumerated actions in § 7512; the test to establish involuntariness on the basis of coercion was set out; nothing in 5 USC § 7512 or the legislative history of the Civil Service Reform Act of 1978 suggests that the Board should apply a nonfrivolous allegation test to determine its jurisdiction over an adverse action; 5 CFR 1201.56, written pursuant to the Board’s statutory authority to issue regulations to carry out the purpose of 5 USC § 7701, is neither “arbitrary, capricious, or manifestly contrary to the statute,” and is therefore entitled to deference and is lawful; jurisdiction is always a substantive concern, and if at any time a court determines that it lacks jurisdiction, it cannot decide the merits of the case; to the extent that *Walley v. VA*, 279 F.3d 1010 (Fed. Cir. 2002), suggests interpreting 5 CFR 1201.56 to apply a preponderance standard only after jurisdiction has attached, that suggestion was rejected; the court overruled *Dorrall v. Dept. of Army*, 301 F.3d 1375, 1380 (Fed. Cir. 2002); *Dick v. VA*, 290 F.3d 1356, 1362 (Fed. Cir. 2002), to the extent they are inconsistent with *Cruz v. Dept. of Navy*, 934 F.2d 1240, 1251–53 (Fed. Cir. 1991) (*en banc*); under 5 USC §§ 7701 and 7512, once a claimant makes nonfrivolous claims of Board jurisdiction, then the claimant has a right to a hearing at which he or she must prove jurisdiction by a preponderance of the evidence; if the claimant fails to do so, then the Board does not have jurisdiction and the case is dismissed for lack of jurisdiction; a nonfrivolous allegation is a claim that, if proven, establishes the Board’s jurisdiction)

[Refer to heading entitled “[Constructive Adverse Actions](#)” in the “[Jurisdiction](#)” chapter.]

## 1. BOARD AUTHORITY

*Miller v. OPM*, 449 F.3d 1374 (Fed. Cir. 2006) (the Federal Circuit held that the Board lacked jurisdiction over the petitioner’s claim that the Office of Personnel Management improperly reduced her retirement annuity payments to collect back premiums for coverage under the Federal Employees’ Group Life Insurance program; because the petitioner’s claim was properly characterized as one founded on the Federal Employees’ Group Life Insurance Act, not on the Federal Employees’ Retirement System Act, jurisdiction lay in the district court or the Court of Federal Claims, not in the Board; when OPM seeks to offset an employee’s salary or retirement benefits to collect a debt, the Board does not have jurisdiction over the merits of the underlying dispute that gave rise to the debt)

*Tunik, et al. v. MSPB & SSA*, 407 F.3d 1326 (Fed. Cir. 2005) (5 USC § 7701(j) prohibits taking retirement status into account in determining the appealability of any removal, and applies to claimed involuntary retirements, but not voluntary ones; a statute can not confer authority on the court to hear a case where that authority is constitutionally lacking, such as here, where by his voluntary retirement, the appellant mooted his appeal; under 5 USC § 1204(h) the Board shall not issue advisory opinions; it issued such an opinion where it decided an appeal in which an appellant who had voluntarily retired sought only prospective relief)

## 2. COERCION; INVOLUNTARINESS

*Loredo v. Dept. of Treasury*, 118 MSPR 686 (2012) (noting that where appellant alleges discrimination in connection with a claim of involuntariness, “evidence of discrimination goes [only] to the ultimate question of coercion”)

*Brown v. MSPB*, 455 Fed. Appx. 982 (Fed. Cir. 2011 NP) (affirming Board’s finding that appellant failed to allege facts which, if proven, could establish the Board’s jurisdiction over an involuntary retirement claim; noting as significant that appellant continued to work for the agency “during a nine-year span of alleged hostility and discrimination, and the two and a half year period after the Agency denied her light duty request” and that there was no evidence that appellant was entitled to a light duty assignment or that her supervisors saw her doctor’s note describing her diagnosis or knew of the seriousness of her condition)

*Brown v. USPS*, 115 MSPR 609 (2011), *aff’d*, *Brown v. MSPB*, 455 Fed. Appx. 982 (Fed. Cir. 2011 NP) (noting intolerable working conditions in a forced retirement case must go beyond job-related stress; “The appellant has failed to allege that any event occurred relatively close in time to her retirement that could have given a reasonable employee no choice but to retire. Even viewed in light of her claims of a continuing pattern of

harassment dating back many years, the events that the appellant describes as having occurred in 1999 and 2000 could not, if proven, rise to the level of coercion necessary to overcome the presumption that her retirement was voluntary.”)

*Smart v. MSPB*, 342 Fed. Appx. 595 (Fed. Cir. 2009 NP) (appellant’s contention that he “had no choice but to retire because an indefinite suspension would have placed him ‘in a situation of no income, no health or life insurance whatsoever’” was rejected as sufficient to render his retirement involuntary)

*Loggins v. USPS*, 112 MSPR 471 (2009) (discussing actions that are “initiated by” the employee versus those initiated by the agency; “initiated by” the employee does not require that the employee first suggest the change, rather the employee may initiate an action by voluntarily accepting the agency’s proposal even where the employee is faced with undesirable options; noting “under duress” or “signed under protest” on the action paperwork does not establish that the employee’s acceptance of an agency action was involuntary)

*Taber v. Dept. of Air Force*, 112 MSPR 124 (2009) (declining to find involuntary the removal of appellant for physical inability to perform his job; “the appellant’s involuntariness claim is based solely on his objections to the agency’s finding that he was unable to perform the duties of his job, to its decision to remove him for that reason, and to its failure to accommodate his medical conditions, ‘if the agency is able to show that it properly decided to remove the appellant based on physical inability to perform, then he could not establish that his retirement was involuntary.’ See *Scaleese*, 68 M.S.P.R. at 249. Because, as discussed below, we find no error in the administrative judge’s determination that the agency properly decided to remove the appellant based on physical inability to perform, the appellant cannot establish that his retirement was involuntary, and the Board therefore lacks jurisdiction over his constructive removal appeal.”)

*Aldridge v. USDA*, 111 MSPR 670 (2009) (originally remanding case for hearing, 110 MSPR 21 (2008), on the question of whether appellant’s retirement was involuntary based on an agency’s threat that if she did not retire immediately she would lose all retirement benefits upon termination (the appellant would, even if terminated, have had the right to appeal the termination and claim a deferred annuity at age 62); following remand, on another PFR, the Board found that appellant was misled and that her retirement was involuntary)

*Baldwin v. VA*, 111 MSPR 586 (2009) (as was the case here, where the Board has jurisdiction, appellant wins on the merits of an constructive adverse action case which usually involves an allegedly forced retirement or resignation; resignation reversed when the appellant was given incorrect information that led him to believe that he could defer his retirement and still carry federal health insurance benefits; back pay ordered retroactive to the date of the appellant’s discharge decision)

*Miller v. DHS*, 111 MSPR 325 (2009), *aff’d*, *Miller v. MSPB*, 361 Fed. Appx. 134 (Fed. Cir. 2010 NP) (an employee’s disappointment in not securing a discontinued service annuity did not make his FERS early retirement involuntary since the agency did not mislead the appellant or improperly take action resulting in the retirement)

*Vazquez v. MSPB*, 296 Fed. Appx. 20 (Fed. Cir. 2008 NP) (“A resignation is either voluntary or involuntary on the date it was submitted, and jurisdiction must be determined as of that date.” *Cruz v. Dep’t of the Navy*, 934 F.2d 1240, 1244 (Fed. Cir. 1991). As such, the period of time between the allegedly coercive act and the resignation is “the most probative evidence” of involuntariness. *Terban v. Dep’t of Energy*, 216 F.3d 1021, 1024 (Fed. Cir. 2000). The longer the time between the coercive acts and the resignation, the less involuntary. *Id.*”)

*Brown v. DOD*, 109 MSPR 493 (2008) (although we classify the bases for constructive adverse actions by the type of coercion (time pressure, misrepresentation) and the type of action (resignation, retirement), actions may become constructively adverse through a combination of factors that must be evaluated as a whole; “...to invoke the Board’s jurisdiction over involuntary retirement appeals generally, the appellant must present sufficient evidence to establish that the action was obtained through duress or coercion or show that a reasonable person would have been misled by the agency.... The touchstone of the “voluntariness” analysis is whether, considering the totality of the circumstances, factors operated on the employee’s decision-making process that deprived him of freedom of choice.... An appellant is entitled to a hearing on the issue of Board jurisdiction over an appeal of an alleged involuntary resignation or retirement only if he makes a nonfrivolous allegation casting doubt on the presumption of voluntariness.”)

*Petric v. OPM*, 108 MSPR 342 (2008) (if the appellant reasonably and materially relied on misinformation from the agency to his detriment, regardless of whether the misleading information was intentionally, negligently or innocently provided, his retirement is considered involuntary; here, “the appellant failed to submit a sworn statement that the agency told him that he would be granted workers’ compensation benefits in lieu of disability retirement if he voluntarily applied for and accepted disability retirement. Thus, he has not shown that the Board should remand this case for further proceedings on the issue.”)

*Rethaber v. MSPB*, 233 Fed. Appx. 1001 (Fed. Cir. 2007 NP) (a demotion was not invalid for lack of a reasonable time to consider the options when the appellant was given until the end of the next business day to make her decision and she was able to meet with a union representative between the time she received the removal proposal and making her decision)

*Fonseca v. USPS*, 151 Fed. Appx. 948 (Fed. Cir. 2005 NP) (“The AJ is a finder of fact, not an advocate; we do not expect him to raise additional claims *sua sponte*, particularly where, as here, a petitioner is represented by a third party”; the AJ did not err in not finding that the appellant was raising a claim of mental incapacity where the evidence on that point in the record was a letter he wrote to the agency accompanied by a record of hospitalization two months after his resignation)

*Barnes v. GPO*, 142 Fed. Appx. 443 (Fed. Cir. 2005 NP) (test for involuntariness and burden of proof set out; not met where the appellant referred only to the agency’s failure to promote him to the position to which he had been temporarily assigned, which agency regulations did not allow, to verbal counseling and written reprimands which he did not show were improper, and to a work environment that he did not show was sufficiently hostile to justify his departure)

*Lloyd v. SBA*, 96 MSPR 518 (2004) (for a resignation or retirement after the agency proposes an employee’s removal to be considered involuntary, she must establish that the agency did not have reasonable grounds for its proposal; the appeal was reopened “to address divergent lines of cases on the question of when Board jurisdiction over an alleged constructive removal appeal attaches”; “5 USC § 1221 confers jurisdiction over a certain kind of *claim*, while appeals governed by 5 USC § 7701 are within the Board’s jurisdiction only if the appellant was affected by an *action* that is appealable to the Board under some law, rule, or regulation”; Board jurisdiction over a constructive removal appeal is established only upon proof of a constructive removal, and not merely by the assertion of a nonfrivolous constructive removal claim; in his concurring opinion, Acting Chairman McPhie agreed that binding precedent requires this decision, but he wrote separately “to explain why this approach is ripe for revision”)

*Herrin v. Dept. of Air Force*, 95 MSPR 536 (2004) (the proper party respondent in an appeal where the issue is whether the appellant’s action was involuntary based on misinformation is the agency that allegedly misinformed her; misinformation from the agency, even if it did not intend to deceive or mislead, can be the basis for a finding of involuntariness if the appellant materially relied on it to her detriment; the test requires an objective evaluation of the circumstances; the Board distinguished *Paszek v. DOD*, 50 MSPR 534 (1991), and found that this appellant failed to show that under the circumstances of this case, as of the date of the action that gave rise to her complaint, her reliance on the misinformation was to her detriment)

*Hall v. Dept. of Navy*, 94 MSPR 262 (2003) (if the appellant proves that the agency threatened to remove him, that he was a qualified disabled employee entitled to reasonable accommodation, and that the agency would not accommodate his disability, then he would have proven that his demotion was coerced)

*Dorrall v. Dept. of Army*, 301 F.3d 1375 (Fed. Cir. 2002) (to establish the Board jurisdiction's over an appeal from an involuntary retirement, an appellant must show that the agency effectively imposed the terms of his resignation, that he had no realistic alternative but to resign, and that his resignation was the result of improper acts by the agency)

*Dick v. VA*, 290 F.3d 1356 (Fed. Cir. 2002) (the Board has jurisdiction over an appeal if the appellant makes nonfrivolous allegations of jurisdiction supported by affidavits or other evidence)

*Brownlow v. USPS*, 34 Fed. Appx. 755 (Fed. Cir. 2002 NP) (denial of leave request, delay in restoring health insurance and paying back pay following settled removal, requirement that employee work under supervisor who disciplined him previously, and prospect of being charged with AWOL did not cause resignation to be involuntarily, since they did not show that reasonable person in appellant's situation would have felt coerced to resign)

*Shoaf v. USDA*, 260 F.3d 1336 (Fed. Cir. 2001) (requirements to prove coerced resignation or retirement were restated; they must be tailored to fit each case; the issue is whether agency made working conditions so intolerable that a reasonable person in the employee's position would have felt compelled to resign; the most probative evidence of coercion will usually be within a relatively short period before the resignation or retirement; it is up to the Board to give appropriate weight to evidence that is further from the resignation, but the failure to give any consideration to the earlier events was an abuse of discretion)

*Nebblett v. OPM*, 237 F.3d 1353 (Fed. Cir. 2001) (contrasts remedies and other factors between allegations of "involuntariness" under 5 USC § 8336(d) (1) and Chapter 75)

*Groce v. Dept. of Air Force*, 4 Fed. Appx. 936 (Fed. Cir. 2001 NP) (for a resignation to be deemed involuntary based on misinformation, the misinformation must have been provided by the employing agency or that agency's agent)

*Pickens v. SSA*, 88 MSPR 525 (2001) (when allegations of discrimination and reprisal are alleged in connection with a determination of voluntariness, evidence of them may only be addressed insofar as it relates to voluntariness; the test for establishing that intolerable working conditions led to the appellant's retirement was restated)

*Beverly v. USPS*, 88 MSPR 247 (2001) (a retirement is involuntary if the agency made materially misleading statements, even negligently or innocently, upon which the employee reasonably relied to his detriment; where the appellant's original OWCP-approved claim was still in effect but a later claim had been denied, the agency's action in misleading him into believing that the ongoing approved claim was irrelevant, which led to his resignation, made the resignation the involuntary product of misinformation)

*Manlogon v. EPA*, 87 MSPR 653 (2001) (because the law of constructive demotions under *Russell v. Dept. of Navy*, 6 MSPR 698, has become clouded, the Board used this case to clarify it; in doing so, it overruled the "subsequent to" requirement in *Hogan v. Dept. of Navy*, 81 MSPR 252; an argument that an appellant's position "should have been" upgraded does not suffice as a claim of constructive demotion; *Bittner v. NCUA*, 76 MSPR 380, and *Pritchett v. USPS*, 72 MSPR 35, and subsequent cases holding to the contrary were also overruled)

*Terban v. Dept. of Energy*, 216 F.3d 1021 (Fed. Cir. 2000) (no error in concentrating on the last 14 months of employment; the most probative evidence is likely to have occurred within "a relatively short period of time" before the retirement; a choice between unpleasant alternatives is not involuntary unless it is shown that the agency lacked reasonable grounds for a threat to take the adverse action; alleged threat to convert approved leave retroactively to LWOP did not coerce retirement)

*Starkey v. Dept. of Navy*, 198 F.3d 851 (Fed. Cir. 2000) (where the appellant resigned before a RIF when he was denied preference eligibility, appeal was remanded so claim of involuntariness could be considered under correct reading of his status)

*Schaeffer v. Dept. of Navy*, 86 MSPR 606 (2000), overruled by *Covarrubias v. SSA*, 113 MSPR 583 (2010) (a retirement may be an involuntary adverse action, if the totality of the circumstances show that the agency made working conditions so intolerable that a reasonable person in the appellant's position would have felt compelled to retire; overruled as to the holding that an IRA appeal would cover an alleged involuntary retirement, since the involuntary retirement is an appealable constructive action, *Covarrubias v. SSA*, 113 MSPR 583 (2010))

*Perez v. Dept. of Navy*, 86 MSPR 168 (2000) (if an employee resigned after being misinformed by an agency official that he could resign before a RIF and still appeal it, his resignation could be deemed an appealable constructive removal)

*Wright v. VA*, 85 MSPR 358 (2000) (claim that return to work was prevented by intolerable conditions should be judged against the involuntariness standard applicable to alleged involuntary resignations and retirements)

*Miller v. DOD*, 85 MSPR 310 (2000) (what must be shown to prove an involuntary resignation was repeated; circumstances immediately before the resignation are most relevant; even under a "totality of the circumstances" approach, certain events may be too remote to be material; all events relied on by the appellant were found insufficient because of their remoteness or because there are at least equally plausible, legitimate explanations for each of them; an employee must act reasonably, not assume the worst, and not jump to conclusions too quickly; an employee is not guaranteed a working environment free of stress)

*Middleton v. DOD*, 185 F.3d 1374 (Fed. Cir. 1999) (claims that retirement was coerced by an order to leave the agency and the country in which he worked within 11 days constitute a nonfrivolous allegation of coercion by time pressure, especially considering the appellant's age, poor health, and recent surgery; a claim of misinformation is judged against an objective standard; the agency need not have supplied the misinformation knowingly; the MOU settling the appellant's removal for his retirement may have been invalid under these circumstances; all relevant nonfrivolous allegations, including background facts, must be viewed as a whole when determining whether, if proven, they could establish a *prima facie* case of involuntary retirement; these claims entitle the appellant to a hearing)

*Koury v. DOD*, 84 MSPR 219 (1999) (totality-of-the-circumstances test, judged by an objective standard, applies as to allegedly coerced resignations; the test is whether working conditions were made so difficult by the agency that a reasonable person in the employee's position would have felt compelled to resign; the appellant made a nonfrivolous allegation that specified agency actions after his WPA disclosures resulted in his inability to work due to work-related anxiety, and coerced his resignation)

*Gregory v. FCC*, 84 MSPR 22 (1999) (the test for proving a claim that the appellant's resignation was the involuntary product of intolerable working conditions was restated, applied, and found not to have been met)

*Landahl v. Dept. of Commerce*, 83 MSPR 40 (1999) (a resignation procured in violation of the regulations for granting leave may be coercive; the appellant made a *prima facie* case that his resignation was involuntary because his supervisors did not provide guidance concerning his entitlement to FMLA leave and instead required a quick answer on whether he would perform his duties)

### 3. DISCRIMINATION ALLEGATIONS

*Loredo v. Dept. of Treasury*, 118 MSPR 686 (2012) (noting that where appellant alleges discrimination in connection with a claim of involuntariness, "evidence of discrimination goes [only] to the ultimate question of coercion")

*Gruspe v. MSPB*, 324 Fed. Appx. 917 (Fed. Cir. 2009 NP) ("While the Board may review 'mixed case' claims of discrimination combined with improper separation, it must have jurisdiction over the non-discrimination claim. *Garcia*, 437 F.3d at 1324–25. In *Gruspe's* case, this requires a finding of

involuntary resignation before the Board can review her discrimination claims. Thus, in this appeal, her discrimination arguments are relevant only to establishing an involuntary resignation.”)

#### 4. INVOLUNTARY DOWNGRADINGS

*Kelly v. MSPB*, 602 Fed. Appx. 831 (Fed. Cir. 2015 NP) (reduction in grade or pay; “some on-the-job discrimination, though wrongful, is not necessarily grave enough in its effects to compel an employee’s actions....[T]he record indicates that the decision to terminate Mr. Kelly’s training was unanimous among several trainers, and was reached only after months of well-documented retraining efforts failed.”)

*Fouks v. VA*, 122 MSPR 483 (2015) (“[A] reduction in grade will be considered involuntary, and an appealable adverse action, if the employee reasonably and materially relied on agency-supplied misinformation to his detriment, based on an objective evaluation of the surrounding circumstances. *Herrin v. Department of the Air Force*, 95 M.S.P.R. 536, ¶ 10 (2004). This is true even though the agency, in providing the misinformation, did not intend to mislead the employee. *Id.*...The agency admits that it supplied the appellant with misinformation regarding the grade of the position, appointed him as a GS-13, step 8, and subsequently reduced his grade to GS-12, step 10. The appellant alleges that he was offered a higher grade after negotiating with the agency and that he accepted this offer in ‘good faith.’ The record also contains the appellant’s statement that ‘the sole reason [he] took the position was the offer of the grade 13-8.’ We find that the appellant has made a nonfrivolous allegation that his reduction in grade was involuntary because he relied to his detriment on agency-supplied misinformation. See *Paszek v. Department of Defense*, 50 M.S.P.R. 534, 538–39 (1991) (finding that the appellant’s reduction in grade was covered by 5 U.S.C. chapter 75 based on misinformation about the corresponding rate of pay, even though the agency’s correction of the rate of pay was not itself a covered action); see also *Garcia v. Department of Homeland Security*, 437 F.3d 1322, 1344 (Fed. Cir. 2006) (once an appellant makes nonfrivolous allegations that, if proven, would establish the Board’s jurisdiction, then the appellant has the right to a jurisdictional hearing); *Ferdon v. U.S. Postal Service*, 60 M.S.P.R. 325, 329 (1994) (in determining whether the appellant has made a nonfrivolous allegation of jurisdiction, the Board may not weigh evidence and resolve conflicting assertions of the parties and the agency’s evidence may not be dispositive).”)

*Loredo v. Dept. of Treasury*, 118 MSPR 686 (2012) (remanding for evidence and argument to determine whether the agency had a valid reason for not allowing appellant to withdraw her demotion request)

*Jones v. USDA*, 117 MSPR 276 (2012) (reversing a downgrade and subsequent resignation where agency failed to provide complete information regarding appellant’s choices during a reorganization; “An agency is required to provide an employee with information that is not only correct in nature, but adequate in scope to allow the employee to make an informed decision. *Miller v. Department of Homeland Security*, 111 M.S.P.R. 325, ¶ 8 (2009), *aff’d*, 361 Fed. Appx. 134 (Fed. Cir. 2010). Where, as here, an employee decision is made with ‘blindness on,’ based on misinformation or a lack of information, such a decision is involuntary. *Id.* Accordingly, we find that the appellant’s ‘acceptance’ of a downgrade to the GS-5 RMA position was involuntary. Moreover, as the administrative judge further noted, where the Board finds that an employee-initiated action is involuntary, it must be reversed by operation of law. See *Baldwin v. Department of Veterans Affairs*, 111 M.S.P.R. 586, ¶ 46 (2009). ... The mere fact that the appellant was faced with unpleasant choices, i.e., that of staying in her downgraded position or resigning, does not, by itself, render her decision to resign involuntary. See *Schultz v. United States Navy*, 810 F.2d 1133, 1136–1137 (Fed. Cir. 1987). Had the appellant been afforded her full range of potential options, however, either at the time of her resignation or a year previously, when she accepted the downgrade, she may well have had entirely different choices to make. While it is not possible to reconstruct, after the fact, whether such alternate outcomes would have been more or less welcome than the ones she ultimately faced, the fact remains that her resignation, like her prior downgrade, was proximately influenced by the agency’s failure to provide her with adequate information regarding the options effecting her employment future. Accordingly, we find that the appellant’s resignation was involuntary, and must likewise be reversed as a matter of law.”)

*Goodwin v. Dept. of Transp.*, 106 MSPR 520 (2007) (the appellant presented a nonfrivolous allegation that her downgrading was involuntary because the agency misrepresented the pay rate that she would receive; the mere allegation that a downgrade was accepted “under protest” did not constitute a nonfrivolous allegation of coercion conferring Board jurisdiction; a choice between unpleasant alternatives does not make the resulting decision involuntary)

*McAlexander v. DOD*, 105 MSPR 384 (2007) (the determinative issue in the case was whether the agency discriminated against the appellant when it reassigned him to an administrative job in a different pay system, with retained pay, because the agency concluded that the appellant’s hearing loss prevented him from properly filling the position as a police officer; finding that the agency properly assessed the impact of the hearing loss on the job and determined that the reassignment was not the product of disability discrimination and that the reassignment was not constructively adverse)

#### 5. INVOLUNTARY REASSIGNMENTS

*Simmons v. MSPB*, 660 Fed. Appx. 939 (Fed. Cir. 2016 NP) (appellant’s cited reason, i.e., that reassignment from New York to Kansas City would pose personal and financial difficulties, for not wanting to take a reassignment did not render the reassignment involuntary; noting that though a choice may be unpleasant it does not make the employee’s decision any less voluntary)

#### 6. INVOLUNTARY RESIGNATIONS

*Steele v. MSPB*, 549 Fed. Appx. 944 (Fed. Cir. 2013 NP) (“[a]n employee’s dissatisfaction with the options that an agency has made available to him is not sufficient to render his decision to resign or retire involuntary. *Conforto*, 713 F.3d at 1121. ‘[T]he doctrine of coerced involuntariness does not apply if the employee resigns or retires because he does not like agency decisions such as ‘a new assignment, a transfer, or other measures that the agency is authorized to adopt, even if those measures make continuation in the job so unpleasant...that he feels that he has no realistic option but to leave.’ *Id.* at 1121–22. ‘[O]ur case law has...emphasized that freedom of choice is a central issue.’ *Garcia*, 437 F.3d at 1329.”)

*Yeressian v. Dept. of Army*, 534 Fed. Appx. 965 (Fed. Cir. 2013 NP) (“Mr. Yeressian’s argument that a resignation cannot be retroactively applied also lacks merit. Mr. Yeressian fails to cite any law, rule, or regulation that his resignation was invalid or inconsistent with 5 C.F.R. § 715.202(a), which states that ‘[a]n employee is free to resign at any time [and] to set the effective date of his resignation.’ 5 C.F.R. § 715.202(a).”)

*Spearman v. MSPB*, 530 Fed. Appx. 936 (Fed. Cir. 2013 NP) (noting that an agency’s offer to allow an employee to resign in lieu of being removed does not establish that a resignation was involuntary)

*Freeborn v. DOJ*, 119 MSPR 290 (2013) (setting aside a resignation as involuntary where appellant mistakenly believed he was being disciplined by means of a suspension rather than being placed on a nondisciplinary home duty status and warden did not correct the misunderstanding)

*Jones v. USDA*, 117 MSPR 276 (2012) (reversing a downgrade and subsequent resignation where agency failed to provide complete information regarding appellant’s choices during a reorganization; “An agency is required to provide an employee with information that is not only correct in nature, but adequate in scope to allow the employee to make an informed decision. *Miller v. Department of Homeland Security*, 111 M.S.P.R. 325, ¶ 8 (2009), *aff’d*, 361 Fed. Appx. 134 (Fed. Cir. 2010). Where, as here, an employee decision is made with ‘blindness on,’ based on misinformation or a lack of information, such a decision is involuntary. *Id.* Accordingly, we find that the appellant’s ‘acceptance’ of a downgrade to the GS-5 RMA position was involuntary. Moreover, as the administrative judge further noted, where the Board finds that an employee-initiated action is involuntary, it must be reversed by operation of law. See *Baldwin v. Department of Veterans Affairs*, 111 M.S.P.R. 586, ¶ 46 (2009). ... The mere fact that the appellant

was faced with unpleasant choices, i.e., that of staying in her downgraded position or resigning, does not, by itself, render her decision to resign involuntary. See *Schultz v. United States Navy*, 810 F.2d 1133, 1136–1137 (Fed. Cir. 1987). Had the appellant been afforded her full range of potential options, however, either at the time of her resignation or a year previously, when she accepted the downgrade, she may well have had entirely different choices to make. While it is not possible to reconstruct, after the fact, whether such alternate outcomes would have been more or less welcome than the ones she ultimately faced, the fact remains that her resignation, like her prior downgrade, was proximately influenced by the agency's failure to provide her with adequate information regarding the options effecting her employment future. Accordingly, we find that the appellant's resignation was involuntary, and must likewise be reversed as a matter of law.")

*Gibeault v. Dept. of Treasury*, 114 MSPR 664 (2010) (involuntary resignation; remanding where appellant alleged that he was given the choice between resignation and removal and told that removal would leave him ineligible for future employment with the agency; noting that removal does not constitute a *per se* ban from future federal employment; "the appellant stated that he was not told that the agency was, at that time, only contemplating a proposal to remove him, nor that, if such a proposal was issued, he would have a right to respond to it."; finding, by these statements, that "the agency provided him with, if not incorrect, then at least misleading or incomplete, information as to his options. That is so regardless of whether the agency was aware that its statements were misleading. See *Covington v. Department of Health & Human Services*, 750 F.2d 937, 942 (Fed. Cir. 1984). We further find that the appellant alleged that, as a long-time employee who had never been disciplined, he reasonably relied upon those statements in concluding that he had no real choice but to immediately resign, which he did. In light of these allegations, supported by the appellant's affidavit, we find that he raised a nonfrivolous allegation that his resignation was involuntary based on misleading statements, and that he is therefore entitled to a hearing.")

*Williams v. DHHS*, 112 MSPR 628 (2009) (where the appellant retires on the date that her removal is effective, the case is adjudicated as a removal, not as an involuntary resignation, with the burdens of proof required in a removal case)

*Axson v. VA*, 110 MSPR 605 (2009) (agency's threat to place an employee on AWOL for not timely documenting a FMLA request for leave to take care of a parent was not sufficient cause to render a resignation involuntary when the agency would likely have granted an extension and, had it not done so, the employee could have challenged the denial of FMLA leave and AWOL status through other means; "agency was not required to accept any attempt to rescind the resignation" after the effective date)

*Parrott v. MSPB*, 519 F.3d 1328 (Fed. Cir. 2008) (four hours was enough to consider a resignation, resulting in some benefit to the appellant, after the agency gave verbal notice of its intent to serve him with a proposed removal; the employee was told that his resignation would avoid a "negative employment reference that would have arisen had he been removed or had he resigned after service of the removal notice," which was not coercive "since having an additional, and potentially attractive, choice could only have been of benefit to him, even though he might have preferred to have that choice remain open for a longer period of time")

*Davis v. DHS*, 305 Fed. Appx. 659 (Fed. Cir. 2008 NP) (the analysis of a forced resignation will likely focus on the allegedly intolerable working conditions that recently preceded the resignation; citing *Terban v. Dept. of Energy*, 216 F.3d 1021, 1024 (Fed. Cir. 2000), and stating "The most probative evidence of involuntariness 'will usually be evidence in which there is a relatively short period of time between the employer's alleged coercive acts and the employee's retirement.'")

*Russell v. MSPB*, 301 Fed. Appx. 938 (Fed. Cir. 2008 NP) (finding circumstances insufficient to require a Board hearing, and insufficient to establish a coerced resignation where appellant alleged that her supervisor "singled her out to create a developmental plan, called her illiterate, and discriminated against her based on race, selecting another individual for charge nurse, ... [and] a more senior manager denied her LWOP request pending mediation."; also considered in the analysis was that at the time of her resignation, the agency was attempting to mediate the issues and appellant could have waited for the resolution of mediation to see if the situation improved)

*Vazquez v. MSPB*, 296 Fed. Appx. 20 (Fed. Cir. 2008 NP) (the Federal Circuit emphasized the significance of events at or near the time of a resignation; "A resignation is either voluntary or involuntary on the date it was submitted, and jurisdiction must be determined as of that date." *Cruz v. Dep't of the Navy*, 934 F.2d 1240, 1244 (Fed. Cir. 1991). As such, the period of time between the allegedly coercive act and the resignation is "the most probative evidence" of involuntariness. *Terban v. Dep't of Energy*, 216 F.3d 1021, 1024 (Fed. Cir. 2000). The longer the time between the coercive acts and the resignation, the less involuntary. *Id.*")

*Levy v. DHS*, 109 MSPR 444 (2008) (an employee was entitled to a hearing based on assertions that the agency misled her as to a promise to provide consideration to her, prior to the effective date of a resignation, for any job in a different location (the site where her husband, also an agency employee, was being reassigned), and who was dissuaded from attempting to withdraw her resignation before its effective date)

*Baldwin v. VA*, 109 MSPR 392 (2008) (remanding for exploration of whether the appellant was misled into resignation through reliance on inaccurate information from the agency as to subsequent entitlement to retirement benefits; found lacking a jurisdictional basis for an involuntary resignation appeal where appellant alleged that the agency made working conditions so "onerous and demeaning" that his separation from service was involuntary; appellant's allegations of coercive activity included that the agency "assigned him to clean areas of the boiler plant that had not been cleaned in 16 years and told other employees not to help him, even though difficult tasks were generally tackled by all operators; unjustifiably threatened him with discipline; conducted unwarranted investigations targeted at him; and required him to be accompanied by agency police while on the premises of KCMC, even though there was no evidence that he was a threat to the agency or its property.")

*Adams v. USPS*, 108 MSPR 250 (2008) (considered competing medical evidence and determined that the appellant was not medically incapacitated due to a benign brain tumor on the date he resigned; appellant's doctor provided an opinion that the disorder caused the misconduct—involving discarding mail—that precipitated an investigation that led to the resignation; agency physician opined that the evidence did not support the contention that the appellant was incapable of properly considering a resignation on the date of the resignation; appellant's physician did not focus upon the ability of the appellant to make a rational decision to resign; the Board credited the analysis of the agency physician, and set aside an initial decision reversing the resignation as a constructive removal)

*Colodney v. MSPB*, 244 Fed. Appx. 366 (Fed. Cir. 2007 NP) ("Mere allegations of an unpleasant work environment do not rise to the level of forcing an employee to resign. With only limited facts surrounding the removal coupled with unsubstantiated allegations of intolerable working conditions, the Board properly found that Mr. Colodney did not show that his resignation was involuntary.")

*Davis v. DHS*, 239 Fed. Appx. 586 (Fed. Cir. 2007 NP), following remand, *Davis v. DHS*, 305 Fed. Appx. 659 (Fed. Cir. 2008 NP) (affirming dismissal by Board for lack of jurisdiction over constructive adverse action appeal; an involuntary resignation was not made out by the employee's distress over actions by the agency that, although adverse to the employee, were found to have been well-founded; held, the court remanded the case to the Board for consideration of an EEOC decision finding sexual harassment against the appellant)

*Franzen v. MSPB*, 238 Fed. Appx. 616 (Fed. Cir. 2007 NP) (a resignation was not involuntary when an employee chose between resignation, with a clear record, and receiving a proposed removal for the failure to pass a drug screening test)

*Gafford v. MSPB*, 232 Fed. Appx. 975 (Fed. Cir. 2007 NP) (exploring, but rejecting, claims as to the coercive or improper nature of the reassignment)

*Zed v. USPS*, 228 Fed. Appx. 972 (Fed. Cir. 2007 NP) (resignation deemed voluntary when the employee was faced with the alternative of resigning or returning to work, but not in a different position or shift, after a period of absence; analyzing but finding no jurisdiction over allegations that the agency forced a resignation by not providing a reassignment; a hostile working environment was not established)



*Shelborne v. MSPB*, 223 Fed. Appx. 990 (Fed. Cir. 2007) (the court declined to remand the case when the MSPB AJ did not permit discovery in the present case where appellant argued on subsequent appeal that the denial of discovery precluded the appellant from obtaining evidence to demonstrate jurisdiction; the court noted that it was not an abuse of discretion for the judge, before ordering the parties to engage in discovery, to require that the appellant allege particular conduct that was sufficiently coercive to cause a reasonable person to resign; restated, to obtain discovery, the appellant must assert specific allegations that, if taken as true, would demonstrate jurisdiction)

*Moody v. MSPB*, 221 Fed. Appx. 971 (Fed. Cir. 2007 NP) (a demotion at the end of probation, leave restriction, and a resignation a few months later was not considered by the Federal Circuit to warrant a finding of a constructive removal)

*Swinford v. Dept. of Transp.*, 107 MSPR 433 (2007) (the appellant stated a *prima facie* case of involuntary retirement based on whistleblowing allegations that allegedly resulted in reprisal causing him to become ill; the agency denied him leave, made it clear that his retirement was desired, and effectively placed him in a position of taking retirement to secure income)

*Paige v. USPS*, 106 MSPR 299 (2007) (appellant asserted that he was blocked from obtaining later employment with the agency by a bad reference after he resigned on the understanding that if he reapplied for a job he would not be hindered in his efforts to secure reemployment with the agency; “[t]aking these allegations as true, they present a non-frivolous allegation that the appellant’s resignation for personal reasons was involuntary based upon agency-supplied misinformation”; appellant was entitled to a hearing)

*Quiet & Wilson v. Dept. of Transp.*, 104 MSPR 292 (2006) (the appellants’ resignations, one day prior to their scheduled removal dates for deficient performance, do not necessarily qualify as involuntary separations for purposes of a discontinued service retirement; therefore, the AJ’s grant of adverse action jurisdiction over the appellants’ performance-based removals, on the basis that they retired prior to the agency’s removal action, was premature because OPM had not yet granted the appellants discontinued-service retirement; therefore, the AJ should adjudicate the appeals as involuntary resignation/constructive removal appeals, unless and until OPM grants the appellants’ discontinued service retirements)

*Parrott v. DHS*, 104 MSPR 171 (2006) (by Final Order, the Board denied the appellant’s petition for review of the initial decision that found that the appellant failed to show that his resignation was an appealable constructive removal; Member Sapin issued a dissenting opinion, stating that she would find that the appellant’s resignation was involuntary because he was given an extraordinarily small amount of time to make a life-altering decision without having spoken to his attorney or seeing the notice of his proposed removal; Chairman McPhie responded to the dissent in a concurring opinion, stating that he agreed with the AJ that the appellant failed to prove that his separation by resignation was a constructive removal because, although the appellant was presented with a difficult choice, neither the choice itself nor the circumstances under which it was made were the result of improper agency action)

*Robinson v. Dept. of Army*, 102 MSPR 546 (2006) (after appointing the appellant to a position in the competitive service, the agency informed her that her appointment was erroneous because she did not satisfy the competitive/career status requirement of the job description; the agency offered her a temporary emergency appointment while it re-advertised the position, but the appellant refused the temporary appointment and the agency processed her separation as a resignation; on the appellant’s appeal challenging her separation, the AJ found no harmful procedural error; the Board denied the appellant’s petition for review, but reopened the appeal on its own motion and remanded the appeal for further proceedings; the AJ erred by failing to provide proper notice to the appellant regarding what she was required to show to establish Board jurisdiction over an alleged involuntary resignation; it was unclear from the record whether the appellant was an “employee” as defined at 5 USC § 7511)

*Balagot v. DOD*, 102 MSPR 96 (2006) (an agency is entitled to rely on an employee’s expression of a present intent to resign only when that expression is definite and unequivocal; a decision to retire has no legal effect if it is not communicated to a responsible official; the appellant made a nonfrivolous allegation that she did not resign when she told a coworker (not her supervisor) that she was quitting, and then did not report for work the following day; moreover, she made a nonfrivolous allegation that when she attempted to return to work, the agency had already processed her resignation; therefore, she was entitled to a jurisdictional hearing)

*Wallendorf v. Dept. of Treasury*, 102 MSPR 59 (2006) (the appellant made a nonfrivolous allegation that her resignation was involuntary where she claimed that she resigned in reliance on the agency’s misleading statements concerning her health insurance coverage; the AJ erred by failing to address the appellant’s allegation that an agency official falsely informed her that she would have to resign immediately in order to retain her health insurance coverage)

*Fonseca v. USPS*, 151 Fed. Appx. 948 (Fed. Cir. 2005 NP) (the AJ did not err in not finding that the appellant was raising a claim of mental incapacity where the evidence on that point in the record was a letter he wrote to the agency accompanied by a record of hospitalization two months after his resignation; the finding that the appellant did not prove his resignation was involuntary was affirmed)

*Porter v. DOD*, 98 MSPR 461 (2005) (a resignation is involuntary if the agency made misleading statements upon which the employee reasonably relied to his detriment; because the appellant was an “employee” but was told she was a probationer and would have no appeal rights to the Board from a termination, she relied on misleading information when she resigned instead; therefore, her action was involuntary; the appellant is not required to show that the agency actually intended to deceive her into resigning; where, as here, the jurisdictional and merits issues are inextricably intertwined, the Board not only has jurisdiction, but the appellant also wins on the merits and is entitled to reinstatement; now-former Member Marshall dissented)

*Bartels v. USPS*, 98 MSPR 280 (2005) (an action separating an employee in accordance with the terms of an agreement to settle his grievance is presumptively voluntary; where the appellant failed to show error in the AJ’s findings that the appellant’s union representative had full authority to settle his grievance, and that there was no reason for relieving him of the consequences of the agreement, he did not prove that his separation was a constructive removal; under the *en banc* decision in *Cruz v. Dept. of Navy*, 934 F.2d 1240, 1248 (Fed. Cir. 1991), Board jurisdiction over a constructive removal appeal is established only upon proof of a constructive removal; *Spruill v. MSPB*, 978 F.2d 679, 689 (Fed. Cir. 1992), and similar cases find Board jurisdiction based on a nonfrivolous allegation; the Board is bound to follow the *Cruz* line of cases; nonetheless, it would be helpful for the full court to revisit *Cruz* and to clarify whether it remains the law; then-Member Marshall issued a concurring opinion arguing that *Cruz* is correct and that the issue of what to do with a claim of discrimination made in connection with a constructive action was properly answered in *Markon v. Dept. of State*, 71 MSPR 574 (1996))

*Crumpton v. Dept. of Treasury*, 98 MSPR 115 (2004) (an involuntary resignation is tantamount to a removal, over which the Board has jurisdiction)

*PA State Police v. Suders*, 124 S. Ct. 2342 (2004) (under *Meritor Savings Bank, FSB v. Vinson*, 477 U.S. 57, 67 (1986), the test to establish hostile work environment was set out; Title VII encompasses employer liability for a constructive discharge; to establish constructive discharge, the plaintiff must show that the abusive working environment became so intolerable that her resignation qualified as a fitting response; the way an employer may defend against such a claim was recited; that affirmative defense will not be available if the plaintiff quits in reasonable response to an employer-sanctioned adverse action officially changing her employment status or situation; examples of that were cited by the Court; this is based on case law that holds that where a tangible employment action is taken, no affirmative defense is open to the employer; that, in turn, is based on principles of agency; the defendant has the burden of proof that plaintiff unreasonably failed to avoid or reduce harm; the Court did not address the employer liability standard for coworker harassment)

*Matthews v. USPS*, 117 Fed. Appx. 726 (Fed. Cir. 2004 NP) (the agency has no obligation to help solve the problems that accompanied the appellant’s

family's move, without him; "[t]ransfer to a remote location is not a routine aspect of employment and failure to transfer [the employee at his request], without more, is not reasonably viewed as grounds of coerced resignation.")

*Shoaf v. USDA*, 97 MSPR 68 (2004) (test of whether a resignation is involuntary was set out; where the court stated that the Board has jurisdiction over an involuntary resignation appeal only if the appellant proves by preponderant evidence that the resignation was involuntary, the proper disposition upon finding he did not meet his burden is dismissal for lack of jurisdiction, not for failure to state a claim; Acting Chairman McPhie's concurring opinion set out the same analysis as in his concurrence in *Lloyd v. SBA*, NY-0752-03-0018-I-1 (04))

*Wood v. OPM*, 95 MSPR 298 (2003) (Table) (Final Order informs the appellant that if she believes that her resignation was involuntary, she may file an appeal to the field office on that basis)

*Frison v. Dept. of Army*, 94 MSPR 431 (2003) (being faced with the unpleasant choice of either resigning or opposing a removal action does not rebut the presumed voluntariness of a resignation; the exception to this rule occurs when the appellant shows that the agency knew or should have known that it could not prevail on its charge; the test for involuntariness based on intolerable working conditions was also set out; the appellant made a nonfrivolous allegation of jurisdiction on this basis; on remand, the AJ must also consider the appellant's claims of race and age discrimination as a factor in determining whether, under all of the circumstances, the appellant proved involuntariness)

*Matthews v. USPS*, 93 MSPR 109 (2002) (remand required as appellant raised involuntary resignation below, but was not afforded explicit notice by AJ, agency's submissions, or the ID of what was required to establish that he is an "employee" under 5 USC § 7511(a)(1)(B) or 39 USC § 1005(a) and Board's jurisdiction over alleged involuntary resignation; PfA was apparently untimely filed but appellant was not advised of what was required to show his appeal was timely or that good cause existed for delay, so appeal remanded for a timeliness determination, if necessary)

*Tiburzi v. DOJ*, 269 F.3d 1346 (Fed. Cir. 2001) (where the appellant elects to settle for a resignation after being informed by his counsel and the AJ of their appraisal of his chances for success on appeal, this choice between unpleasant alternatives is not involuntary)

*Wilson v. OPM*, 90 MSPR 456 (2001) (Table) (final order tells the appellant he may file an appeal from his 1981 resignation from DOD if he believes that his action was involuntary)

*Morman v. DOD*, 90 MSPR 197 (2001) (a nonfrivolous allegation of jurisdiction, made by casting doubt on the presumed voluntariness of resignations, entitles the appellant to a hearing; circumstances in which a resignation will be found involuntary were set out; medical documentation showing the appellant needed a change in work schedule, and her claim that the agency refused to grant the change, constitute a nonfrivolous allegation)

*Endermuhle v. Dept. of Treasury*, 89 MSPR 495 (2001) (resignation on expiration of an appointment, even if involuntary, is not appealable)

*Duvall-Little v. Dept. of Navy*, 89 MSPR 416 (2001) (where "the record as a whole" shows that the appellant suffered from a serious mental illness that may have impacted the voluntariness of her decision to resign, the Board remanded her appeal; her actual condition, not the agency's awareness of it, is the test to decide voluntariness)

*Martinez v. Dept. of Interior*, 88 MSPR 169 (2001) (a resignation is involuntary if the agency made conditions so unbearable that the appellant was effectively coerced into leaving or if he did not understand his rights because it failed to give him the assistance he sought; the claim that the appellant's resignation was the product of coercion or misrepresentation, supported by his evidence that he resigned because, despite a promise to be promoted after two years in a training program, he was neither promoted nor reassigned, had no place to go, and received no help, was a nonfrivolous allegation; allegations of discrimination and reprisal may be addressed insofar as they relate to the involuntariness of a resignation)

*Harper v. USPS*, 87 MSPR 632 (2001) (a coerced resignation or one where working conditions were so difficult that a reasonable person in the appellant's position would have felt compelled to resign is involuntary; circumstances in which she is entitled to notice of appeal rights from a resignation were repeated; the appellant made a nonfrivolous allegation that her resignation was involuntary because of her safety concerns; the timeliness and jurisdiction issues were found to be "inextricably intertwined")

*Sword v. OPM*, 87 MSPR 533 (2000) (Table) (denial of PfR informs the appellant that if he wishes to pursue his claim that his resignation was involuntary he should file an appeal ASAP)

*Coleman v. OPM*, 86 MSPR 689 (2000) (Table) (Final Order in 844E appeal informs the appellant that if she believes her resignation was involuntary, she may file a new Board appeal)

*Lawley v. Dept. of Treasury*, 84 MSPR 253 (1999) (test for proving a constructive discharge was restated; failure to submit administratively acceptable evidence to support her sick leave request defeats the claim that the appellant resigned because its denial made working conditions intolerable)

*Baker v. USPS*, 84 MSPR 119 (1999) (summarily affirms finding of no violation of return right after military service but that CBA violation upon return constituted constructive suspension when agency did not search beyond the appellant's tour for a job)

## 7. INVOLUNTARY RETIREMENT

*Pistilli v. MSPB*, 662 Fed. Appx. 942 (Fed. Cir. 2016 NP) (finding the agency did not coerce the appellant into retiring where it reassigned him to a position requiring a top secret security clearance; "Second, Mr. Pistilli argued that he suffered intolerable working conditions based on his not being provided work to do. As the agency had explained to Mr. Pistilli that it had limited work available for him while he lacked a security clearance, the Board did not err in finding that this working condition was not an improper act by the agency to coerce Mr. Pistilli to retire.")

*Nguyen v. MSPB*, 646 Fed. Appx. 980 (Fed. Cir. 2016 NP) (constructive retirement case; no coercion where agency officials told the appellant "to take her time in making a decision and that the choice of whether and when to resign was hers, and hers alone," even though her supervisor eventually demanded a definitive answer as to whether or not she was going to retire)

*Rebish v. MSPB*, 601 Fed. Appx. 944 (Fed. Cir. 2015 NP) ("[A]n employee who voluntarily retires has no right to appeal to the Board." *Staats v. U.S. Postal Serv.*, 99 F.3d 1120, 1123–24 (Fed. Cir. 1996). "A decision to resign or retire is presumed to be voluntary." *Id.* at 1123. The presumption is especially difficult to overcome when resignation occurred pursuant to a settlement agreement. See, e.g., *Callen v. Pennsylvania R.R. Co.*, 332 U.S. 625, 630 (1948) ("One who attacks a settlement must bear the burden of showing that the contract he has made is tainted with invalidity, either by fraud practiced upon him or by a mutual mistake under which both parties acted."); *Asberry v. U.S. Postal Serv.*, 692 F.2d 1378, 1380 (Fed. Cir. 1982) ("Those who employ the judicial appellate process to attack a settlement through which controversy has been sent to rest bear a properly heavy burden.")

*Morrison v. Dept. of Navy*, 122 MSPR 205 (2015) (the appellant stated a nonfrivolous allegation that the agency incorrectly secured his retirement by telling him he would lose all his retirement benefits if he was discharged)

*Blount v. MSPB*, No. 2014-3156 (Fed. Cir. 2014 NP) (some on-the-job discrimination, including retaliation, though wrongful, is not grave enough in its effects to compel an employee to resign)

*Jones v. MSPB*, 589 Fed. Appx. 972 (Fed. Cir. 2014) (the Board has analyzed joint removal and retirement claims as removal actions, without regard to the voluntariness of the retirement decision; the Board properly adjudicated the appeal at issue as directed to the merits of the initial removal action pursuant to 5 USC § 4303 (citing *Paula v. Soc. Sec. Admin.*, 119 M.S.P.R. 138, ¶ 13 (2013); *Scalese v. Dept't of the Air Force*, 68 M.S.P.R. 247, 248–49 (1995)))

*Broughton v. MSPB*, 581 Fed. Appx. 882 (Fed. Cir. 2014 NP) (in order to establish such involuntary disability retirement, employees must show there was an accommodation available on the date of their separation that would have allowed them to continue their employment, and that the agency did not provide that accommodation. If no accommodation would have allowed the appellant to continue working, then the agency cannot be said to have constructively removed her, citing *Benavidez v. Dept. of Navy*, 241 F.3d 1370, 1375 (Fed. Cir. 2001); *Williams v. MSPB*, 227 Fed. Appx. 916, 919 (Fed. Cir. 2007))

*Deem v. MSPB*, 575 Fed. Appx. 878 (Fed. Cir. 2014 NP) (the amount of time between the employer's alleged behavior and the employee's retirement is highly probative as to whether an employee's retirement is involuntary; "the time period between the final incident and the appellant's retirement was three weeks and the court found this time period short enough to indicate involuntariness. *Bates v. DOJ*, 70 MSPR 659, 668 (1996).... long period of time between the actions and the employee's retirement diminishes the causal link between the two events. *Terban v. Dept. of Energy*, 216 F.3d 1021, 1024 (Fed. Cir. 2000).")

*Putnam v. DHS*, 121 MSPR 532 (2014) (a clearance suspension alone does not rise to the level of coercion for the purposes of a constructive adverse action; the appellant may contest the underlying clearance suspension through agency processes)

*Wiggins v. MSPB*, 490 Fed. Appx. 336 (Fed. Cir. 2012 NP) (evidence that, prior to appellant's retirement, he was threatened with an investigation that "he claims would have exonerated him" was not sufficient to overcome the presumption of voluntariness)

*Brown v. DOD*, 397 Fed. Appx. 649 (Fed. Cir. 2010 NP) (finding appellant's disability retirement not involuntary where agency granted accommodation requested by appellant, but declined to grant accommodation that was not required by law, and appellant failed to inform the agency of other particular accommodations that may have been needed to allow appellant to perform her work)

*Brown v. USPS*, 115 MSPR 609 (2011), *aff'd*, *Brown v. MSPB*, 397 Fed. Appx. 649 (Fed. Cir. 2011 NP) (considering the "totality of the circumstances," Board found that appellant's claim that she was apprehensive of the agency taking a disciplinary action against her at the time she stopped reporting to work did not make a nonfrivolous allegation that the agency coerced her retirement; noting that appellant's continued work for a long period of time after enduring alleged harassment evidenced an alternative to retirement)

*Apodaca v. MSPB*, 379 Fed. Appx. 986 (Fed. Cir. 2010 NP) (claims of involuntary retirement must be supported by evidence that the agency coerced appellant to absent him or herself from work; affirming factual findings below that "appellant requested retirement and was not forced into retirement, and that he did more than express contemplations of retirement based on the actions of the appellant and his supervisor and email traffic: '[appellant] was at all times in control of his retirement decision.'")

*Salazar v. Dept. of Army*, 115 MSPR 296 (2010) ("the Army provided the appellant with misinformation, albeit unintentionally. The Board has repeatedly held that whether the agency provided the misinformation intentionally, unintentionally, negligently, inadvertently, or even innocently is immaterial to whether it was, in fact, misinformation, and that a decision based on misinformation is not binding as a matter of fundamental fairness and due process.... Because the appellant has shown that the Army provided him with misinformation and that he reasonably relied on it in deciding to retire, he has proven that his retirement was involuntary. See *Baldwin v. Department of Veterans Affairs*, 111 M.S.P.R. 586, ¶ 46 (2009). Accordingly, because the appellant has proven that his retirement was involuntary and thus tantamount to a removal, there is Board jurisdiction and he prevails on the merits.... The appellant is therefore entitled to reinstatement.")

*Pariseau v. Dept. of Air Force*, 113 MSPR 370 (2010) (distinguishing the Board's jurisdiction over an involuntary disability retirement case from an ordinary involuntary retirement)

*Hall v. MSPB*, 342 Fed. Appx. 603 (Fed. Cir. 2009 NP) (affirming the Board's holding that Hall "failed to provide the basis for a non-frivolous involuntary-resignation claim" where the record showed that her retirement was not forced or coerced; "This record does not show any non-frivolous allegations that rise to the level of coercive involuntariness. Thus, Hall showed no entitlement to an evidentiary hearing with respect to jurisdiction. It was Hall—not Grade or McKinney—who first brought up the possibility of retirement in the meeting where her career goals were discussed. It was Hall—not the agency—who set the date of her retirement. The vast majority of Hall's alleged discriminatory actions amount to nothing more than speculation. Her claims that she was treated unfairly by being precluded from attending training sessions, by being given 'demeaning' job tasks, and by not receiving 'the usual office wide retirement celebration' are the exact type of contentions we have previously held are not actionable under the doctrine of coercive involuntariness. Even Grade's alleged statement that she would terminate Hall if she refused to retire, when viewed in light of the entire record, does not save Hall's claim. Grade's statement was itself immediately qualified with, '[i]f I have time, I'll look for an Assistant's job for you.'")

*Permoda v. MSPB*, 310 Fed. Appx. 401 (Fed. Cir. 2009 NP) (the appellant failed to identify any position within his qualifications that was vacant and funded at the time of his retirement; if accommodation as of the date of the separation was impossible, the disability retirement was voluntary)

*Rastogi v. Dept. of Air Force*, 298 Fed. Appx. 948 (Fed. Cir. 2008 NP) (an undesired switch in supervisors did not constitute a basis for a forced retirement claim)

*Aldridge v. USDA*, 110 MSPR 21 (2008) (remanding for a hearing on the question of whether the appellant's retirement was involuntary based on an agency's threat that if she did not retire immediately she would lose all retirement benefits upon termination; the appellant would, even if terminated, have the right to both appeal the termination and claim a deferred annuity at age 62)

*Brown v. DOD*, 109 MSPR 493 (2008) ("Disability retirement cases are somewhat different from typical retirement cases because an appellant who meets the statutory requirements for disability retirement has "no true choice between working (with or without accommodation) and not working, and disability retirement cannot be considered as a removal within the meaning of 5 U.S.C. § 7512(1)... To invoke the Board's jurisdiction over involuntary disability retirement appeals, the appellant must raise nonfrivolous allegations that, if proven, would show that an accommodation was available between the time the appellant's medical condition arose and the date of his separation that would have allowed him to continue his employment; the appellant communicated to the agency his desire to continue working but that his medical limitations required a modification of his working conditions or duties; and the agency failed to provide the appellant that accommodation.... Once the appellant has raised a nonfrivolous allegation that such an accommodation existed but was not provided, he is entitled to a jurisdictional hearing.")

*Petric v. OPM*, 108 MSPR 342 (2008) (if the appellant reasonably and materially relied on misinformation from the agency to his detriment, regardless of whether the misleading information was intentionally, negligently or innocently provided, his retirement is considered involuntary; here, "the appellant failed to submit a sworn statement that the agency told him that he would be granted workers' compensation benefits in lieu of disability retirement if he voluntarily applied for and accepted disability retirement. Thus, he has not shown that the Board should remand this case for further proceedings on the issue.")

*Douglas v. DOD*, 108 MSPR 244 (2008) (finding that the appellant has made a nonfrivolous allegation that a reasonable person would have been misled by the agency and that the Board has jurisdiction over her appeal where agency informed employees that their worksite was scheduled for closure, the appellant accepted the retirement option and the incentive payment and, following retirement, appealed on the basis of a constructive adverse action based on the assertion that the agency did not inform her, shortly after her agreement for the separation payment and before her retirement date, that the agency announced that it would retain fifteen positions at her location that would be filled through RIF procedures; appellant alleged that agency officials knew of her interest in retaining employment and knew, but did not inform her, of the positions that would be retained prior to the date she signed the retirement incentive agreement)